

Translation
in res: nvb nordwest vermögensverwaltungs- und beteiligungs-AG

Page 1 of 2

Bundesanstalt für Finanzdienstleistungsaufsicht
[Federal Financial Supervisory Authority]

BaFin

Bafin – Postfach 13 08 – 53003 Bonn

nvb nordwest vermögensverwaltungs-
und beteiligungs-AG
Am Brill 1 – 3
28195 Bremen

18-08-2004

Case No.: BA 31 (101250) 100 (please always state)
Authorization to carry out banking business and provide
financial services according to § 32 Paragraph 1 KWG
[*Banking Act*]

Division
Banking Supervision

Your application made by the Law Firm Latham & Watkins
dated 08-05-2004
Several letters with attachments of March, April, May, June
and July 2004

Address:
Bundesanstalt für
Finanzdienstleistungsaufsicht
Graurheindorfer Straße 108
53117 Bonn – Germany

Attachments : 2

Contact:
Mrs. Niemann-Müller
Department 31
Phone +49(0)228 4108-1108
Fax +49(0)228 4108-1550
poststelle@bafin.de
www.bafin.de

Dear Sirs,

Referring to your application made in the aforementioned letters
I herewith grant to nvb nordwest vermögensverwaltungs- und
Beteiligungs-AG (as of the date of registration in the Commercial
Register under the trade name of “Die Sparkasse Bremen AG”),
according to § 32 Paragraph 1 Banking Act – KWG – in the
version of its promulgation dated 09-09-1998 (Law Gazette
I.S. 2776), last amendment by Article 5 of that Act on 05-04-2004
(Law Gazette I.S. 502) the

Switchboard:
Tel.: +49(0)228 4108-0
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Authorization

to carry out banking business in the sense of § 1 Paragraph 1
Sentence 2 Nos. 1 to 5 and Nos. 7 to 11 KWG and to provide
financial services in the sense of § 1 Paragraph 1a Sentence 2
Nos. 1 to 8 KWG.

Offices: 53117 Bonn
Graurheindorfer Straße 108
Georg-von-Boeselager-Straße 25
Friedrich-Woehler-Straße 2
Friedrich-Woehler-Straße 69

60439 Frankfurt
Lurgiallee 12

This authorization is given subject to the requirements stated in
the letter enclosed. Please find the costs for these authorization
proceedings indicated in a separate letter also enclosed to this
decision.

in res: nvb nordwest vermögensverwaltungs- und beteiligungs-AG

Bundesanstalt für Finanzdienstleistungsaufsicht

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Please be informed that a copy of this letter was sent for information to the Deutsche Bundesbank, Hauptverwaltung, Hannover and to the Hanseatische Sparkassen- und Giroverband.

Kind Regards

per procuracion

Schilling

THE SEAL
BUNDESANSTALT FÜR
FINANZDIENSTLEISTUNGS-AUFSICHT

Certified

(Lülsdorf)
Office Clerk

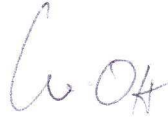
Translation

Wolfgang Ott**Licensed Court Translator ** *Argelanderstraße 83**53115 Bonn**(0228)371057

ANNEX

certified to be
a true and correct translation

Bonn, this
Wolfgang Ott - Licensed Court Translator



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the Federal State of North-Rhine Westphalia / Germany

My License expires December 31, 2015

